

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Mark A. SMITH et al.  
Title: REDUNDANT FLUID INTERCONNECT SEAL FOR A MODULAR  
INK JET DELIVERY SYSTEM  
Appl. No.: Unassigned  
Filing Date: August 27, 2003  
Examiner: Anh T. N. Vo  
Art Unit: 2861

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR §1.56**

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
PO Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Applicants submit herewith on Form PTO/1449 a listing of the documents cited by or submitted to the U.S. PTO in parent application Serial No. 10/001,685, filed 10/31/2001. As provided in 37 CFR §1.98(d), copies of the documents are not being provided since they were previously submitted to the United States Patent & Trademark Office in the above-identified parent application.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.

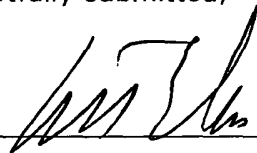
**RELEVANCE OF EACH DOCUMENT**

The relevance of the documents is explained in the parent application.

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/1449 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 08-2025. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 08-2025.

Respectfully submitted,

By 

Date: August 27, 2003

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**PATENT APPLICATION**

Sheet 1 of 1

<b>FORM PTO-1449</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	ATTY. DOCKET NO. <b>10012181-2</b>	APPLICATION NO.	CONFIRMATION NO.
	APPLICANT <b>Mark A. SMITH et al.</b>		
	FILING DATE <b>Aug. 27, 2003</b>	GROUP <b>2861</b>	

**REFERENCE DESIGNATION****U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	6,015,209	01/18/2000	BARINAGA et al.	
	1B	6,139,137	10/31/2000	STATHEM et al.	
	1C	6,019,463	02/01/2000	WASEDA	
	1D	5,828,395	01/27/1998	TAKATA	
	1E	5,631,682	05/20/1997	TAKATA	
	1F	5,495,272	02/27/1996	YAMAGUCHI	
	1G				
	1H				
	1I				
	1J				
	1K				

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L					
	1M					
	1N					
	1O					
	1P					

**OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)**

	1Q	
	1R	
	1S	

EXAMINER

DATE CONSIDERED